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(54) Title: WATER-SOLUBLE CHIRAL SULFONATED BINAP CATALYST FOR ASYMMETRIC SYNTHESIS OF OPTICALLY ACTIVE COMPOUNDS		
(57) Abstract The present invention relates to water soluble chiral sulfonated 2,2'-bis(diphenylphosphino)-1,1'-binaphthyl compounds and their use as organometallic catalysts for asymmetric synthesis of optically active compounds in hydrogenation, hydroboration, hydrosilylation, hydride reduction, hydroformylation, alkylation, allylic alkylation, arylation, alkenylation, epoxidation, hydrocyanation, disilylation, cyclization and isomeration processes.		

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WATER-SOLUBLE CHIRAL SULFONATED BINAP CATALYST
FOR ASYMMETRIC SYNTHESIS OF OPTICALLY ACTIVE
COMPOUNDS

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TECHNICAL FIELD

10 The present invention is generally directed to
water-soluble sulfonated chiral diphenyl phosphine
catalysts useful for the asymmetric synthesis of
optically active compounds. More specifically, the
present invention relates to chiral sulfonated BINAP
catalysts.

15 BACKGROUND OF THE INVENTION

 The development of effective asymmetric
reactions that enable the enantioselective formation
of one chiral center over another continues to be an
important area of research. One such asymmetric
20 reaction involves the introduction of a chiral center
into a molecule through the enantioselective
hydrogenation of a prochiral olefin using a
transition metal catalyst bearing chiral organic
ligands. Numerous chiral phosphine catalysts have
25 been developed to enantioselectively introduce chiral
centers to prochiral olefins, carbonyls and imines
with high enantiomeric excess. One such class of
chiral catalysts employs the chiral phosphine ligand
2,2'-Bis(diphenylphosphino)-1,1'-binaphthyl
30 (hereinafter referred to as "BINAP"). References
reporting enantioselective reactions using the BINAP
ligand include: Wu, et al., Tetrahedron Letters

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- (1993) 34:(37) 5927-5930; Wu, et al., Tetrahedron Letters (1992) 33:6331-6334; Tani, et al., J. Chem. Soc. Chem. Commun. (1982) 600; Tani, et al., Angew Chem. Int. Ed. Engl. (1985) 24(3):217-219; Naruta, et al., Tetrahedron Letters (1987) 28:4553-4556; Hodgson, et al., J. Organomet. Chem. (1987) 325:627-630; Hayashi, et al., J. Am. Chem. Soc. (1988) 110:5579-5581; Hayashi, et al., J. Am. Chem. Soc. (1989) 111:3426-3428; Kollar, et al., J. Molecular Catalysis (1991) 67:191-198; Collman, et al., J. Chem. Soc. Chem. Commun. (1993) 428; Murakami, et al., Bull. Chem. Soc. Jpn. (1992) 65:3094-3102; Yamaguchi, et al., Tetrahedron Asymmetry (1991) 2(7): 663-666; Burgess, et al., Tetrahedron Asymmetry (1991) 2(7): 613-621; Ozawa, et al., Tetrahedron Letters (1993) 34(15):2505-2508; Ozawa, et al., Tetrahedron Letters (1992) 33(11):1485-1488; Ozawa, et al., Chemistry Letters (1992) 2177-2180; Kagechika, et al., J. Org. Chem. (1991) 56:4093-4094; Sakamoto, et al., Tetrahedron Letters (1992) 33:6845-6848; Brunner, et al., J. Organometallic Chem. (1993) 456:71-75; Trost, et al., J. Amer. Chem. Soc., (1980) 102:7932-7934; Miyashita, et al., Tetrahedron (1984) 40(8):1245-1253; Waldman, et al., "Selectivity in Catalysis," M.E. Davis and S. L. Snib, Eds. ACS Symposium Series 517 (1993); Ozawa, et al., "Selectivity in Catalysis," M.E. Davis and S. L. Snib, Eds. ACS Symposium Series 517 (1993); Chan, et al., "Selectivity in Catalysis," M.E. Davis and S. L. Snib, Eds. ACS Symposium Series 517 (1993); Dunina, et al., "Homogeneous Catalysis By The Optically Active Complexes Of Transition Metals And Its Application In The Synthesis Of Bioactive Molecules" J. Org. Chem. USSR (1993) 28:1547-1600, 1913-1971; WO 90/15790; WO 92/09552;

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A second important area of research relates to the development of water-soluble organometallic catalysts. Conventionally, catalytically active organometallic complexes have been applied as homogeneous catalysts in solution in the organic reaction phase. Difficulties associated with recovery of the homogeneous catalysts from the reactants and products diminish the utility of these homogeneous catalysts, especially when the cost of the catalyst is high or where there is the need to isolate the reaction products in high purity.

One mode in which water soluble organometallic catalysts have been used is in two phase systems comprising an aqueous phase and a water immiscible phase (e.g. ethyl acetate - water). Separation of the organometallic catalyst from organic reactants and products is greatly simplified due to the insolubility of the catalyst in the water immiscible phase. However, in some instances, the utility of the two phase system has been limited by a lack of substrate and/or reactant solubility in the aqueous phase and by the limited interfacial area between the two phases. For example, in the case of hydrogenation reactions, the solubility of dihydrogen in water at 25°C and 1 atm is 8.53×10^{-4} M, over four times smaller than the solubility of dihydrogen in ethanol (38.2×10^{-4} M).

Supported aqueous phase organometallic catalysts (SAP) have been developed to overcome some of the shortcomings associated with two phase reaction systems. In particular, SAP catalysts greatly enhance the interfacial area between the aqueous and organic phase.

An SAP catalyst is depicted in Figure 1. SAP catalysts generally comprise a solid support 1

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possessing a surface 2 and an aqueous solution 3 containing the water soluble organometallic catalyst 4. The solid support 1 is able to immobilize the aqueous solution of the catalyst on the surface of the support. Thus, when a water soluble organometallic catalyst is introduced, the catalyst is immobilized within the aqueous solution contained on the surface of the solid support. Highly polar solvents, such as ethylene glycol, may be used in place of the aqueous solution 3 to solubilize the organometallic catalyst and to immobilize the organometallic catalyst within the SAP catalyst.

One advantage of SAP catalysts is the simplicity of catalyst recovery. When a SAP catalyst is used in a water immiscible organic solvent 5, the organometallic catalyst is retained within the aqueous solution immobilized on the surface of the solid support 1 and thus can be easily recovered by simple filtration.

The design, synthesis and use of supported aqueous phase organometallic catalysts is described in Davis, et al., U.S. Patent No. 4,994,427, Davis, et al., U.S. Patent No. 4,947,003, and Davis, Chemtech (1992) 22:498-502, each of which is incorporated herein by reference.

In order for a catalyst in a supported aqueous phase organometallic catalyst system or two phase system to be effective, the organometallic catalyst must be both soluble and active in water. In the case where a highly polar solvent, such as ethylene glycol, is used instead of water, the catalyst must be both soluble and active in the highly polar solvent.

Water solubilization of organometallic catalysts is generally performed by modifying the phosphine

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ligands to include highly polar functional groups such as amino, carboxylic acid, hydroxy, ether and sulfonate groups. Joo, et al., J. Mol. Catal. (1980) 8:369; Sinou, Bull. Soc. Chim. Fr. (1987) 480; Kalck, et al., Adv. Organomet. Chem. (1992) 34:219.

Water-soluble organometallic catalysts provide the added advantage of synthetic flexibility by enabling one to conduct reactions in an aqueous system, an organic-aqueous two phase system (Kalck, et al., Adv. Organomet. Chem. (1992) 34:219; Toth, et al., Catal. Lett. (1990) 183; Toth, et al., Tetra. Asym. (1990) 1:913) or as a supported aqueous phase catalyst in an organic solvent (Arhancet, et al., Nature (1989) 339:454; Arhancet, et al., J. Catal. (1990) 121:327; Arhancet, et al., J. Catal. (1991) 129:94; Arhancet, et al., J. Catal. (1991) 129:100; Davis, Chemtech (1992) 22:498).

Dang, et al., U.S. Patent No. 4,654,176, describes the sulfonation of several chiral phosphines in order to render those catalysts water soluble. Dang, et al. notes that these catalysts enable reactions to be carried out in a medium comprising water and an appropriate organic solvent.

Unfortunately, sulfonation of chiral phosphine ligands has been found to result in a loss of enantioselectivity. Amrani, et al., J. Mol. Catal. (1984) 24:231; Lecomte, et al., J. Organomet. Chem. (1989) 370:277. Therefore, the need exists for an asymmetric catalyst whose enantioselectivity is not decreased when the catalyst is modified to possess greater water solubility.

A significant loss in enantioselectivity is also observed when water is used as the solvent. Toth, et al., Tetra. Asym. (1990) 1:913; Amrani, et al., J. Mol. Catal. (1984) 24:231; Sinou, et al., J. Mol.

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Catal. (1986) 36:319; Benhanza, et al., J. Organomet. Chem. (1985) 288:C37; Lecomte, et al., J. Organomet. Chem. (1989) 370:277; Oehme, et al., J. Mol. Catal. (1992) 71:L1. The need therefore exists for a catalyst that functions in neat water without significant loss in enantioselectivity.

SUMMARY OF THE INVENTION

The present invention relates to water soluble chiral sulfonated 2,2'-bis(diphenylphosphino)-1,1'-binaphthyl and its use as organometallic catalysts for asymmetric synthesis of optically active compounds. Asymmetric reactions of the present invention include, but are not limited to, those reactions in which organometallic catalysts are commonly used. Such reactions include reduction and isomerization reactions on unsaturated substrates and carbon-carbon bond forming reactions. Examples of such reactions include, but are not limited to, hydrogenation, hydroboration, hydrosilylation, hydride reduction, hydroformylation, alkylation, allylic alkylation, arylation, alkenylation, epoxidation, hydrocyanation, disilylation, cyclization and isomerization reactions.

The catalysts of the present invention provide the advantage of functioning in the presence of water without loss in enantioselectivity relative to the nonsulfonated BINAP catalyst in an organic solvent. As a result, the catalysts of the present invention may be employed in water, water miscible solvents, in aqueous - organic two phase solvent systems and in supported aqueous phase catalysts in organic solvents without loss in enantioselectivity. Further, the catalysts of the present invention may also be

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effectively employed in highly polar solvents such as primary alcohols and ethylene glycol.

The present invention also relates to a method for conducting asymmetric reactions on prochiral unsaturated bonds contained within a compound using the water soluble chiral sulfonated 2,2'-bis(diphenylphosphino)-1,1'-binaphthyl organometallic catalysts of the present invention.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 depicts a supported aqueous phase catalyst (SAP).

Figure 2 depicts the preferred BINAP organometallic catalyst of the present invention, [Ru(benzene)(Cl)(BINAP-4SO₃Na)] Cl.

Figure 3 depicts the mechanistic basis for enantioselectivity dependence on substrate concentration in the parent rhodium-BINAP catalyst.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The present invention relates to water soluble chiral sulfonated 2,2'-bis(diphenylphosphino)-1,1'-binaphthyl (BINAP-SO₃Na) ligands and their use as water soluble organometallic catalysts in the asymmetric synthesis of optically active compounds.

It is preferred that the chiral sulfonated binaphthyl be tetrasulfonated (BINAP-4SO₃Na). Metals used to form the catalysts of the present invention include those metals used in catalysts for the asymmetric reactions of the present invention. Such metals include, but are not limited to, rhodium, ruthenium, iridium, vanadium, lead, platinum, tin, nickel or palladium. With regard to hydrogenation reactions, ruthenium is the most preferred metal. It is also preferred that the catalyst comprise a

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counterion, most preferably Na^+ , K^+ , Cs^+ and Ca^{2+} . The preferred sulfonated BINAP catalyst of the present invention, $[\text{Ru}(\text{benzene})(\text{Cl})(\text{BINAP-4SO}_3\text{Na})]\text{Cl}$, is depicted in Figure 2.

5 Asymmetric reactions of the present invention include those reactions in which organometallic catalysts are commonly used. Such reactions include, but are not limited to, reduction and isomerization reactions on unsaturated substrates and carbon-carbon
10 bond forming reactions. Examples of such reactions include, but are not limited to, hydrogenation, hydroboration, hydrosilylation, hydride reduction, hydroformylation, alkylation, allylic alkylation, arylation, alkenylation, epoxidation, hydrocyanation,
15 disilylation, cyclization and isomerization reactions. In these reactions, a catalyst is generally used to catalyze the enantioselective transformation of a prochiral unsaturated substrate. Types of prochiral unsaturated substrates
20 asymmetrically reacted using the sulfonated BINAP catalysts of the present invention include, but are not limited to, alkenes, aldehydes, ketones, thioketones, oximes, imines, enamines, allylic alcohols, allylamines and unsaturated carboxylic
25 acids.

 The present invention also relates to water soluble chiral organometallic catalysts that comprise a sulfonated BINAP ligand and a metal useful for catalyzing asymmetric reactions. Metals used to form
30 the catalysts of the present invention include those metals used in catalysts for the asymmetric reactions of the present invention. Such metals include, but are not limited to, rhodium, ruthenium, iridium, vanadium, lead, platinum, tin, nickel or palladium.

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The present invention also relates to a method for conducting an asymmetric reaction on a prochiral unsaturated bond using a water soluble organometallic catalyst that comprises a chiral sulfonated BINAP ligand of the invention.

The sulfonated catalysts of the present invention are soluble in water, water miscible solvents and highly polar solvents such as primary alcohols and ethylene glycol. The sulfonated catalysts are not soluble in nonpolar solvents such as hexane. As a result, the catalysts of the present invention may be employed in water, in the aqueous phase of an aqueous/organic two phase system, in water miscible organic solvents such as methanol, ethanol, propanol, ethylene glycol and dimethylsulfoxide as well as in water immiscible solvents where the catalyst is solubilized in an aqueous solution which is in turn immobilized on the surface of a supported aqueous phase catalyst. In each case, the sulfonated catalysts of the present invention are generally solvated by water molecules and thus catalyze asymmetric reactions in the presence of water. It should be understood that highly polar solvents, such as ethylene glycol, may be used in place of water in two phase and SAP catalyst systems.

Surprisingly, the sulfonated catalysts of the invention exhibit no significant loss in enantioselectivity in water as compared to the corresponding nonsulfonated catalyst in an organic solvent. In contrast, Amrani, et al., J. Mol. Catal. (1984) 24:231 and Lecomte, et al., J. Organomet. Chem. (1989) 370:277 teach that significant enantioselectivity is lost when sulfonated phosphine catalysts are employed in the presence of water.

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As used herein, an enantioselective reaction is one where one enantiotopic face is selectively attacked over the other thereby causing the formation of one enantiomer over another. Enantiomeric excess (e.e.) is a measurement of a reaction's enantioselectivity and is defined by the quantity

$$\frac{(R-S)}{(R+S)} \times 100\%$$

where R and S are relative quantities of R and S enantiomers.

The asymmetric catalysts of the present invention are preferably used in a supported aqueous phase catalyst system because of the ease of catalyst recovery and because SAP catalysts exhibit higher activity due to an increased surface area than the same catalysts in the two-phase system.

It has been observed that the enantioselectivity of the rhodium based sulfonated BINAP catalysts is not effected by the substrate concentration. This can be explained by the proposed mechanism for the substrate concentration dependent enantioselectivity of the rhodium based non-sulfonated BINAP catalyst which is depicted in Figure 3.

As depicted in Figure 3, when the substrate concentration is increased, the concentration of the 1:2 catalyst:substrate adduct increases. Upon hydrogenation, the 1:2 adduct produces products with decreased enantioselectivity because chelation of the substrate to the catalyst is lost and/or one of the metal-phosphorus bonds is broken upon the formation of the 1:2 adduct. This results in a decreased enantioselective interaction between the substrate and the catalyst. By contrast, it is believed that the sulfonated BINAP catalyst cannot form the corresponding 1:2 adduct due to steric hinderance

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caused by the sulfonate groups. This would explain why no substrate concentration effects regarding enantioselectivity are observed.

As can be seen from the data presented in Table 3, the enantioselectivity of the rhodium based sulfonated BINAP catalysts appears to increase with increases in the water concentration of the solvent. In the case of other sulfonated phosphine catalysts, decreased enantioselectivity is generally observed when the water concentration of the solvent is increased.

Ruthenium based sulfonated BINAP catalysts possess the opposite enantioselectivity as the rhodium based catalysts using the same enantiomer of the BINAP ligand. However, it should be noted that both enantiomeric products can be selectively produced using both ruthenium and rhodium based catalysts by using either the (R)- or (S)- enantiomer of the BINAP ligand. Ruthenium based sulfonated BINAP catalysts are preferred because they exhibit enantioselectivity superior to the corresponding rhodium catalysts. Further, the parent nonsulfonated ruthenium BINAP catalyst has been shown to catalyze a wider range of reactions than the corresponding nonsulfonated rhodium BINAP catalyst. Asymmetric reactions that have been conducted using the nonsulfonated ruthenium BINAP catalyst include but are not limited to hydrogenation, hydroboration, hydrosilylation, hydride reduction, hydroformylation, alkylation, allylic alkylation, arylation, alkenylation, epoxidation, hydrocyanation, disilylation, cyclization and isomerization.

The ruthenium sulfonated BINAP catalysts are also preferred because they exhibit higher stability,

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although less catalytic activity, than rhodium sulfonated BINAP catalysts.

5 The following examples set forth the synthesis and application of the chiral sulfonated BINAP catalysts of the present invention. The following examples also set forth the method by which the preferred embodiments were determined. It is understood that reactions relating to either the (R)- or (S)- BINAP catalyst can be equally employed using
10 the other enantiomer. Therefore, specific recitation to (R)- or (S)- BINAP, or derivatives thereof, are not intended to be limiting. Further objectives and advantages of the present invention other than those set forth above will become apparent from the
15 examples which are not intended to limit the scope of the present invention.

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EXAMPLES

1. Sulfonation Of (R)-BINAP Under Conditions That Minimize The Formation Of Phosphine Oxides And Maximize The Degree Of Sulfonation

Sulfonation of (R)-BINAP is preferably performed under conditions designed to eliminate the formation of phosphine oxides and to achieve a high yield of a single phosphine species. Table 1 summarizes the results from sulfonating (R)-BINAP under a variety of reaction conditions.

TABLE 1

[SO ₃]%	Time (days)	Temp. (°C)	Products*
30	5	22	55% mixture of sulfonated products + 45% oxides
30	4	50	100% oxides
25	3	0	100% oxides
50	5	<10	70% mixture of sulfonated products 30% oxides
43	4.5	10	single major sulfonated product + 5% oxides
40	3	0	single major sulfonated product

* (based on ³¹P NMR signals)

Based on the test results summarized in Table 1, the following sulfonation protocol was designed in order to minimize the formation of phosphine oxides and to selectively produce the tetra-sulfonated BINAP derivative. First, 1 g of (R)-BINAP was dissolved in 3.5 ml of concentrated sulfuric acid at 10°C under argon. Afterward, 15 ml of fuming sulfuric acid (40 wt% sulfur trioxide in concentrated sulfuric acid) was added dropwise over 2-3 hours. The resulting solution was then stirred at 10°C under an argon atmosphere for 3 days. In the event that the reaction mixture solidifies, it is preferred that a stepwise addition of sulfur trioxide be used rather

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than a dropwise addition in order to prevent solidification.

After stirring, the reaction was quenched by pouring the sulfuric acid solution into 100 ml of ice cooled water followed by the dropwise addition of 50 wt% NaOH until the solution was neutralized to pH 7. The resulting aqueous solution was then reduced to 30 ml under vacuum. 100 ml of methanol was then added to the concentrated solution in order to precipitate any sodium sulfate present in solution. The sodium sulfate was removed by filtration and the supernatant reduced under vacuum to yield a solid. The solid was then dissolved in neat methanol to remove trace amounts of sodium sulfate to yield sulfonated (R)-BINAP in a 70-75% yield.

Complete removal of sodium sulfate was confirmed by ^{33}S NMR. The presence of sodium sulfate in the isolated solid was measured by oxidizing the sample followed by analyzing the sample using ^{33}S NMR in D_2O . No sodium sulfate was detected after 150,000 NMR scans. No further information regarding the sample's composition could be obtained due to the fact that the sulfate groups on the sulfonated BINAP give a broad peak around -13 ppm relative to ammonium sulfate.

Elemental analysis of the resulting product indicated that it was approximately 85% tetrasulfonated BINAP ($\text{BINAP-4 SO}_3\text{Na}$: Calculated S/P:Na/P:C/Na = 2:2:11; Found S/P:Na/P:C/Na = 2.34:2.02:11.31). The product was then analyzed by ^{31}P NMR which showed a single major resonance at -11.1 ppm and a second smaller resonance at -12.7 ppm (relative to H_3PO_4), the two resonances having intensity ratios of 86:14. The presence of a single major ^{31}P resonance indicates that the product is

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symmetrical about each phosphorous atom. Equivalent phosphorous atom resonances would be expected if each phenyl ring of the BINAP ligand is sulfonated, thereby creating a symmetrical molecule. Thus, it is believed that each phenyl ring of the BINAP ligand is monosulfonated.

It is believed that sulfonation occurs on the phenyl rings rather than the naphthyl rings due to a difference in the π -stabilization energy of the aromatic rings, thereby causing the phenyl rings to be more reactive toward electrophilic aromatic substitution by sulfur trioxide than the naphthyl rings. This hypothesis is supported by the observation of a single major ^{31}P NMR signal. At least two NMR resonances having equal intensities would appear in the ^{31}P spectrum of a tetra-sulfonated BINAP if the naphthyl ring was also sulfonated. Nonetheless, definitive assignments are not possible due to the complexity of the ^1H and ^{13}C NMR spectra.

It is believed that the second minor NMR resonance observed corresponds to penta- and hexa-sulfonated BINAP derivatives where the additional sulfonate groups appear on the naphthyl rings. Production of higher sulfonated BINAP derivatives is not expected to adversely impact enantioselectivity since enantioselectivity is believed to be based on the interaction of the phenyl rings with the substrate and not the naphthyl rings. The observation of similar activity and selectivity between separately prepared batches of ligands having different major to minor species ratios appears to confirm the hypothesis that enantioselectivity is not adversely affected by sulfonation of the naphthyl rings.

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2. Preparation Of Rhodium BINAP-4 SO₃Na Catalyst

The rhodium BINAP-4 SO₃Na catalyst was prepared by reacting [Rh(COD)Cl]₂, wherein COD represents cycloocta-1,5-diene, with two equivalents of (R)-BINAP-4SO₃Na in water at room temperature in the presence of excess sodium perchlorate to form the cationic species [Rh[(R)-BINAP-4 SO₃Na](COD)](ClO₄). Exposure of [Rh[(R)-BINAP-4 SO₃Na](COD)](ClO₄) to one atmosphere of dihydrogen yields the active catalyst [Rh[(R)-BINAP-4 SO₃Na](H₂O)₂]⁺. The rhodium BINAP-4 SO₃Na catalyst may also be prepared in methanol to yield the active catalyst [Rh[(R)-BINAP-4 SO₃Na](methanol)₂]⁺. Further addition of two equivalents of (R)-BINAP-4 SO₃Na or the initial admixture of four equivalents of [Rh(COD)Cl]₂ yields the inactive complex [Rh[(R)-BINAP-4 SO₃Na]₂]⁺. Assignments for these species are based on the data presented in Table 2.

TABLE 2

³¹P NMR data for various ligands and rhodium complexes

Compound	Solvent	δ (ppm) ¹	J _{Rh-P} (Hz)
BINAP-4SO ₃ Na (L)	D ₂ O	-11.0 (s)	-
[Rh(L) COD] ClO ₄	D ₂ O	31.0 (d)	144
[Rh(L) (D ₂ O) ₂] ClO ₄	D ₂ O	51.0 (d)	196
(S)-BINAP (L')	C ₆ D ₆ :CD ₃ OD (4:1)	-12.8 (s)	-
[Rh(L') (NBD)] ClO ₄	CD ₃ OD	25.1 (d)	156
[Rh(L') (CH ₃ OH) ₂] ClO ₄	CD ₃ OD	53.1 (d)	206
(S,S)-cyclobutanediop(L'')-4SO ₃ Na	D ₂ O	-20.2 (s)	-
[Rh(COD)Cl] ₂ + (L'')	D ₂ O	20.2 (d)	144
[Rh(L'') (H ₂ O) ₂] ⁺	D ₂ O	43.5 (d)	182
(S,S)-BDPP(L''')-4SO ₃ Na	D ₂ O	0.7 (s)	-
[Rh(COD)Cl] ₂ + L'''	D ₂ O	29.3 (d)	144
[Rh(L''') (H ₂ O) ₂] ⁺	D ₂ O	53.2 (d)	185

¹ ³¹P NMR chemical shifts relative to 85 wt% H₃PO₄; downfield shifts are positive.

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3. Asymmetric Hydrogenation Of 2-Acetamidoacrylic
Acid And Methyl-2-Acetamidoacrylate Using
 $[\text{Rh}[(\text{R})\text{-BINAP-4 SO}_3\text{Na}](\text{H}_2\text{O})_2]^+$

5 Asymmetric hydrogenation of 2-acetamidoacrylic
acid and methyl-2-acetamidoacrylate using $[\text{Rh}[(\text{R})\text{-}$
 $\text{BINAP-4 SO}_3\text{Na}](\text{H}_2\text{O})_2]^+$ was conducted at room
temperature and one atmosphere of dihydrogen in batch
autoclaves. Hydrogenation of the alkene was measured
by ^1H NMR. The enantiomeric excesses of the products
10 were determined by first derivatizing the amino acids
to N-trifluoroacetyl amino acids according to El
Baba, et al., Tetrahedron (1984) 40:4275. The
resulting N-trifluoroacetyl amino acids were then
separated and analyzed by gas chromatography using a
15 J&W Scientific CDX B chiral capillary column.

As depicted in Table 3, the (S) enantiomers of
2-acetamidoacrylic acid and methyl-2-
acetamidoacrylate are preferentially formed using the
 $[\text{Rh}[(\text{R})\text{-BINAP-4 SO}_3\text{Na}](\text{H}_2\text{O})_2]^+$ catalyst. Hence,
20 $[\text{Rh}[(\text{R})\text{-BINAP-4 SO}_3\text{Na}](\text{H}_2\text{O})_2]^+$ provides the same
enantioselectivity as the non-sulfonated rhodium
BINAP catalyst. Miyashita, et al., Tetrahedron
(1984) 40:1245.

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TABLE 3

Reduction of 2-acetamidoacrylic acid and methyl-2-acetamidoacrylate using
 (Rh[(R)-BINAP-4SO₃Na](solvent)) (ClO₄) at room temperature under 1 atm. of H₂

5	Substrate	Solvent	Conc. (M)	ee(%)
	2-acetamidoacrylic acid	methanol	0.078	58.0
	"	"	0.017	58.0
	"	"	0.003	57.5
10	"	"	0.007	70.4
	methyl-2-acetamidoacrylate	"	0.134	50.8
	"	"	0.017	47.8
	"	"	0.003	49.0
	"	water	0.039	68.8
15	"	"	0.017	68.5

Unexpectedly, the sulfonated BINAP catalyst exhibits roughly the same enantioselectivity in neat water (70.4 -68.0%) as was observed by A. Miyashita, et al. using the unsulfonated BINAP catalyst in ethanol (67.0%). Miyashita, et al., Tetrahedron (1984) 40:1245. This is surprising since sulfonation of phosphine ligands generally results in a loss of enantioselectivity.

The sulfonated rhodium BINAP catalysts of the present invention are further distinguishable from the corresponding non-sulfonated BINAP catalysts by the fact that enantioselectivity is substrate concentration independent as shown by the data presented in Table 3.

Without being bound by theory, the observed substrate concentration independence is believed to be due to the bulky sulfonated phenyl rings of the BINAP ligand which inhibit the formation of a 1:2 catalyst:substrate adduct. As depicted in Figure 3, enantioselectivity is lost when a 1:2 catalyst:substrate adduct forms. When the phenyl rings of the BINAP ligand are not sulfonated, there

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is less steric hinderance to prevent the formation of a 1:2 catalyst:substrate adduct. Thus, at higher substrate concentrations, the population of the 1:2 catalyst:substrate adduct increases and results in lower enantioselectivity. By contrast, the added steric bulk created by the sulfonate groups on the phenyl rings inhibits the formation of the 1:2 catalyst:substrate adduct at higher substrate concentrations. As a result, the enantioselectivity of the sulfonated catalysts of the present invention exhibits substrate concentration independence.

The substrate concentration independence of the sulfonated catalysts of the present invention enable the use of these catalysts to be scaled up because increases in substrate concentration do not adversely impact enantioselectivity. By contrast, scale-up using the non-sulfonated BINAP catalyst has been limited by the adverse impact increases in substrate concentration have on enantioselectivity.

In addition to exhibiting enantioselectivity that is substrate concentration independent and showing the same enantioselectivity in neat water as the unsulfonated BINAP catalyst in ethanol, the sulfonated rhodium BINAP catalysts of the present invention also do not exhibit the same loss in enantioselectivity that is generally observed when water is used as a solvent. As shown in Table 4, the enantioselectivity of the sulfonated rhodium BINAP catalysts of the present invention actually increases with increases in the water concentration. The same trend in enantioselectivity was observed using both 2-acetamidoacrylate and its corresponding methyl ester as substrates. As can be seen from the data in Table 4, the enantioselectivity of the sulfonated rhodium BINAP catalysts of the present invention when

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used in water is contrary to the enantioselectivity
prior art sulfonated chiral catalysts.

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Table 4

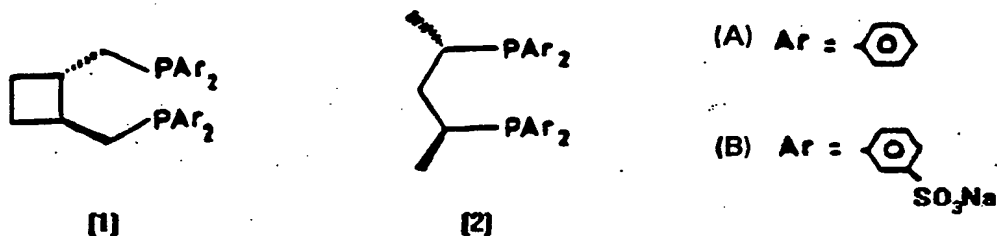
	Substrate	Solvent	Conc. (M)	ee%
5	<u>Rh[(R)-BINAP-4SO₃Na](solvent)₂(ClO₄)</u>			
	2-acetamidoacrylic acid	water	0.007	70.4(S)
	"	7:1 water/methanol	0.017	67.0(S)
	"	1:1 water/methanol	0.017	56.0(S)
	"	1:2 water/methanol	0.042	55.0(S)
10	"	methanol	0.017	58.0(S)
	methyl-2-acetamidoacrylic acid	water	0.017	68.5(S)
	"	4:1 water/methanol	0.017	61.2(S)
	"	1:1 water/methanol	0.017	42.1(S)
	"	methanol	0.017	47.8, 47.3(S)
15	<u>[Rh(R-BINAP)(solvent)₂]⁺</u>			
	methyl-2-acetamidoacrylic acid	ethanol	N.R.	67.0 [*]
	<u>[Rh(COD)1A](ClO₄)⁺</u>			
	acetamidocinnamic acid	methanol	0.1	80.0(S)
	"	1:9 water/methanol	0.1	75.5(S)
20	"	3:7 water/methanol	0.1	70.0(S)
	"	1:1 water/methanol	0.1	63.5(S)
	"	ethanol	0.1	82.0(S)
	"	1:4 water/ethanol	0.1	77.0(S)
	"	2:3 water/ethanol	0.1	75.0(S)
25	"	3:2 water/ethanol	0.1	70.0(S)
	methyl-acetamidocinnamic acid	methanol	0.1	50.0(S)
	"	1:9 water/methanol	0.1	47.0(S)
	"	3:7 water/methanol	0.1	40.0(S)
	"	1:1 water/methanol	0.1	35.0(S)
30	"	7:3 water/methanol	0.1	27.0(S)

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[Rh(COO)2B)] (ClO₄)⁺

acetamidocinnamic acid	ethanol	0.1	73.0(S)
"	3:17 water/ethanol	0.1	70.0(S)
"	1:3 water/ethanol	0.1	68.0(S)
"	1:1 water/ethanol	0.1	63.0(S)
"	4:1 water/ethanol	0.1	43.0(S)
"	water	0.1	33.0(S)

N.R. = not reported.

* Miyashita, et al., Tetrahedron (1984) 40:1245.† Data for these catalysts from Lecomte, et al., J. Organomet. Chem. (1989) 370:277.

4. Comparison Of Initial Turnover Rates Of Sulfonated And Nonsulfonated Catalysts

Comparison of the turnover rates of sulfonated and nonsulfonated (R-BINAP) rhodium catalysts is set forth in Table 5. A significant decrease in the catalyst turnover rate is observed when water is used as the solvent. This is believed to be due to the lower solubility of dihydrogen in water. The solubility of dihydrogen in ethanol and water at 25°C at 1 atm. is 38.2×10^{-4} M and 8.53×10^{-4} M respectively. Despite the lower turnover rate, reasonable activity in neat water is achieved under mild reaction conditions (room temperature, 1 atm).

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Table 5

	Catalyst	Solvent	Turnover (hr ⁻¹)	ee (%) [*]
5	[Rh[(R)-BINAP-4SO ₃ Na](solvent) ₂](ClO ₄)	water	41	69
	[Rh[(R)-BINAP-4SO ₃ Na](solvent) ₂](ClO ₄)	ethanol	302	56
	[Rh[(R)-BINAP](solvent)(ClO ₄)THF ^b	ethanol	389	20
	[Rh[(R)-BINAP](solvent)(ClO ₄)	ethanol	467	22
	[Rh[(R)-BINAP](solvent)(ClO ₄)THF ^{b,c}	ethanol	264	35
10	[Rh[(R)-BINAP-4SO ₃ Na](solvent) ₂](ClO ₄) ^d	water	45	68

Substrate: methyl-2-acetamidoacrylate

Conditions: T = 23°C; P = 1 atm

^{*} [substrate]/[Rh]=76; chemical yield quantitative^b from Aldrich^c 2-acetamidoacrylic acid as substrate^d from a different batch of ligand^{*} at 100% conversion

5. Preparation Of Ruthenium BINAP-4 SO₃Na Catalyst

The ruthenium BINAP-4 SO₃Na catalyst was prepared by reacting [Ru(benzene)Cl₂]₂ with two equivalents of (R)-BINAP-4SO₃Na in a 1:8 benzene/methanol solvent to yield [Ru(benzene)Cl[(R)-BINAP-4 SO₃Na]]Cl. ³¹P NMR (CD₃OD): d.d. δ = 63.0, δ 68.8 ppm J = 45Hz.

Specifically, 0.0010g of [Ru(benzene)Cl₂]₂ was stirred with 0.0050g BINAP-SO₃Na in 4.5 ml of a 1:8 benzene/methanol solvent at 55°C under argon for 1-2 hours. The resulting solution was then vacuum dried at room temperature.

Interestingly, reacting [Ru(benzene)Cl₂]₂ with two equivalents of (R)-BINAP-4SO₃Na in water at 55-60 °C for 2 hours did not yield a highly active catalyst

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for hydrogenation. The ^{31}P NMR spectrum of the resulting product contained two peaks in strictly a 1:1 ratio [^{31}P NMR (D_2O): $\delta = 57.5$ and 63.7 ppm]. From the difference in line shape, the two resonances appear to be originating from different phosphorous atoms. A $^2\text{J}_{\text{pp}}$ coupling could not be observed in water. Because P-C bond cleavage of Rh-phosphine complexes is well known (Abatjoglou, et al., Organometallics (1984) 3 923), it is speculated that a similar oxidative addition of the phosphorous-naphthyl bond to the Ru center is occurring. Since the oxidative addition of a P-C bond from a phosphine to a transition metal center is promoted by the presence of a vacant coordination site, a weakly coordinating agent, such as an aromatic solvent, can be used to suppress oxidation addition of a P-C bond.

6. Asymmetric Hydrogenation Using Ruthenium (R)-BINAP Catalyst

Asymmetric hydrogenation of 2-acetamidoacrylic, methyl-2-acetamidoacrylate and 2-acetamidocinnamic acid was performed using the sulfonated ruthenium BINAP catalysts prepared according to Example 5. The results of the hydrogenation are set forth in Table 6.

Table 6

Substrate	Solvent	Pressure	T(°C)	ee%
<u>$\text{Ru}_2\text{Cl}_2(\text{BINAP})_2(\text{Et}_3\text{N})$</u>				
2-acetamidoacrylic acid	1:1 ethanol-THF	2	35	76.0(R) ¹
2-acetamidocinnamic acid	ethanol/THF/ Et_3N	1	RT	86.0(S)
<u>$[\text{Ru}(\text{benzene})(\text{BINAP-4SO}_3\text{Na})]^{2+}$</u>				
2-acetamidoacrylic acid	methanol	1	RT	84.2(R)
2-acetamidoacrylic acid	water	1	RT	68.5(R)

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	methyl-2-acetamidoacrylic acid	methanol	1	RT	84.7(R)
	methyl-2-acetamidoacrylic acid	water	1	RT	75.9(R)
	methyl-2-acetamidoacrylic acid	water	1	50	82.0(R)
	2-acetamidocinnamic acid	methanol	1	RT	81.3(R)
5	2-acetamidocinnamic acid	1:1 methanol:water	1	RT	84.0(R)
	2-acetamidocinnamic acid	water	1	RT	87.7(R)
	2-acetamidocinnamic acid	ethanol	1	RT	80.1(R)

¹ Data from Kawano, et al., J.C.S., Perkin Trans. 1, (1989) 1571.

As can be seen from the results depicted in Table 6, the ruthenium catalysts of the present invention possess the opposite enantioselectivity as rhodium based sulfonated BINAP catalysts using the same BINAP enantiomer. The data from Table 6 also indicates that the enantioselectivity of ruthenium based sulfonated BINAP catalysts, unlike the rhodium catalysts, varies with regard to water concentration dependence, depending on the substrate used. For example, with 2-acetamidocinnamic acid, enantioselectivity increases with increases in water concentration. By contrast, enantioselectivity with 2-acetamidoacrylic acid decreases with increases in water concentration.

7. Asymmetric Hydrogenation Using Ruthenium Sulfonated BINAP Catalyst In Methanol

In contrast to most other sulfonated phosphine systems, (Amrani, et al. Organometallics (1989) 8:542; Bartik, et al. Organometallics (1993) 12:164) the ruthenium sulfonated BINAP catalyst is quite soluble in neat methanol. Methanol is known to give the highest enantiomeric excess in many of the asymmetric reactions catalyzed by non-sulfonated complexes.

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Asymmetric hydrogenation of 2-(6'-methoxy-2'-naphthyl)acrylic acid by the non-sulfonated Ru-BINAP catalyst has been carried out in neat methanol. Chan, et al. in "Selectivity In Catalysis" (Davis, M. E., and Suib, S. L., Eds.) Chap. 3, p. 27. ACS Symposium Series 517 (1993). Homogeneous, asymmetric hydrogenations of 2-(6'-methoxy-2'-naphthyl)acrylic acid were conducted in methanolic solvents to allow a direct comparison between the sulfonated and parent system.

Reductions were carried out under a hydrogen pressure of 500-1400 psig. In neat methanol, the sulfonated BINAP catalyst (96.1% at 4°C) was found to be as enantioselective as the corresponding nonsulfonated BINAP catalyst (96.0% at 0°C), at slightly higher hydrogen pressure.

8. Asymmetric Hydrogenation In Two Phase Solvent System

Hydrogenation in a simple two-phase reaction system was performed in order to compare catalyst performance with results using a homogeneous solvent system as well as a SAP catalyst. Anhydrous ethyl acetate was chosen as the organic solvent. 2-(6'-methoxy-2'-naphthyl)acrylic acid was used as the substrate. When the substrate was charged into the hydrogenation reactor along with an aqueous solution of the ruthenium catalyst (10 ml of 1:1 ethyl acetate/water and S/C=50), a 53.6% conversion was observed in 3.5 days with an initial turnover frequency of 0.34hr^{-1} . Table 7, Entry 1. In comparison to neat methanol, the two-phase reaction system is at least 350 times slower. Table 7, Entry 1 and 13. The slower reaction rate is most likely due to the limited solubility of the substrate in water. As a result, most of the reaction is taking

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place at the aqueous-organic phase interface. The rate is therefore limited by the interfacial surface area between the catalyst containing aqueous phase and the substrate containing organic phase. As will be discussed in Section 9, the surface area limitation of two phase systems is significantly reduced by using a SAP catalyst which has been found to be 50 times more active than the two phase system. This is due to the much larger interfacial surface area provided by the high surface area SAP solid support.

TABLE 7

Entry	Solvent	S/C ^a	Hydrogen Pressure (psig)	Conv. (%)	T.O.F. (hr ⁻¹) ^b	e.e. (%)
1	1:1 ethyl acetate/H ₂ O	50	1380	53.6	0.34	78.4
2	1:1 ethyl acetate/H ₂ O	25	1360	56.7	---	81.1
3	1:1 ethyl acetate/H ₂ O	25	1360	56.4	---	70.0 ^c
4	1:1 ethyl acetate/H ₂ O	25	1370	57.0	---	82.7 ^d
5	1:1 ethyl acetate/H ₂ O	15	1365	90.0	0.20	77.2 ^e
6	1:1 ethyl acetate/H ₂ O	14	1320	100.0	---	73.0 ^f

^a substrate to ruthenium ratio^b initial turnover frequency^c aqueous solution recycled once^d aqueous solution recycled twice^e reaction temperature = 5°C^f with added triethylamine, Et₃N/substrate=1

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TABLE 7 - Part 2

	Entry	Solvent	S/C ^a	Hydrogen Pressure (psig)	Conv. (%)	(hr ⁻¹) ^b	T.O.F.e.e. (%)
5	7	MeOH	50	1370	N/A	---	86.0 (R)
	8	MeOH	53	500	N/A	---	84.7 (R)
	9	1:1 MeOH/H ₂ O	25	1320	N/A	---	78.9 (R)
10	10	1:1 MeOH/H ₂ O	25	1340	N/A	---	75.1 (R) ^d
	11	MeOH	25	1370	N/A	---	89.5 (R) ^d
	12	MeOH	25	1360	N/A	---	91.0 (R) ^d
	13	MeOH	101	1350	N/A	131	88.2 (R)
	14	MeOH	101	1350	N/A	927	91.5 (R) ^d
15	15	MeOH	51	1370	N/A	---	86.2 (R) ^e
	16	1:1 MeOH/H ₂ O	51	1320	N/A	---	75.7 (R) ^e
	17	1:1 MeOH/H ₂ O	50	1350	N/A	0.77 ^f	---
	18	1:1 MeOH/H ₂ O	52	1350	N/A	---	77.6 (R) ^g
	19	MeOH	52	500	N/A	---	87.5 (R) ^d
20	20	MeOH	---	500	N/A	---	93.3 (R) ^{d,h}
	21	MeOH	100	1230	N/A	---	96.1 (R) ^{d,i}
	22	MeOH	---	500	N/A	---	96.0 (R) ^{d,h,j}
25	^a substrate to ruthenium ratio						
	^b initial turnover frequency						
	^c e.e. determined at 100% conversion						
	^d with added triethylamine, Et ₃ N/substrate=1						
30	^e with added sodium 3-pyridinesulfonate, m-SO ₃ Na-pyridine/substrate=1						
	^f with added concentrated sulfuric acid in 10 times excess of substrate						
	^g with added tetrahydrofuran in 1.9 times excess of substrate						
	^h Chan, et al., "Selectivity in Catalysis," M.E. Davis and S.L. Snib, Eds. ACS Symposium Series 517 (1993)						
35	ⁱ reaction temperature = 4°C						
	^j reaction temperature = 0°C						

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Because of the limited solubility of the substrate in water, we cannot rule out the possibility that a small portion of the conversion is by reaction in the bulk aqueous phase. A 78.4% e.e. was obtained from the two-phase reaction. As shown by entries 2, 3 & 4 of Table 7, recycling of the catalyst containing aqueous phase after phase separation is possible without any drop in enantioselectivity. The enantioselectivity ranged from 78.0-82.7% over several recycles of the catalytic solution. Similar enantioselectivity but lower activities (0.20hr^{-1}) were found at a reaction temperature of 5-6°C. Table 7, Entry 5.

9. Asymmetric Hydrogenation Using A
Supported-Aqueous-Phase Catalyst

In the supported-aqueous-phase configuration, anhydrous ethyl acetate is used as the organic phase. Catalytic data obtained using the sulfonated BINAP catalyst in a SAP system is listed in Tables 8 and 9. The turnover rates of the SAP catalysts were significantly higher than the two-phase system. This is believed to be due to the much higher contact surface area of the SAP catalysts. When 2-(6'-methoxy-2'-naphthyl)acrylic acid was hydrogenated with a "dried" sample of the SAP catalyst (1.9 wt% water), no detectable conversion is observed even after 70 hours at room temperature under 1300psig of hydrogen pressure ($\text{T.O.F.} < 0.008\text{hr}^{-1}$). Table 9, Entry 1. Significantly, when water-saturated ethyl acetate was used as solvent, a 100% conversion ($\text{S/C}=31.5$) was achieved in ~3 hours under the same reaction conditions with an initial turnover frequency of 18.2hr^{-1} and up to an 70% e.e. Table 8, Entry 5, Table 9, Entry 8. This enantioselectivity

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is only slightly lower than that found in the water-organic two-phase system where the only difference is in the contact surface area between the aqueous phase and the organic phase.

5 Similar results were also observed from other
batches of SAP catalysts. Table 8, Entries 2, 6 & 9.
Additionally, the enantiomeric excess of the
hydrogenated product when using a SAP catalyst with
40 μ l water in 10 ml of ethyl acetate as the organic
10 solvent was found to be only 28.7% (R). Table 8,
Entry 1.

 Based on the results presented in Tables 8 and
9, it is evident that the water content in the SAP
catalyst has a dramatic affect on both catalyst
15 activity and enantioselectivity. It is therefore
important to have a means for rehydrating the
catalyst. Vapor-phase impregnation of water onto the
SAP solid support is not feasible for the present
system since the ruthenium-sulfonated-BINAP catalyst
20 is not as hydrophilic as the rhodium-TPPTS catalyst
developed by Wan, K.T., et al. J. Catal. (in press);
Arhancet, et al. Nature (1989) 339:454; Arhancet, et
al. J. Catal. (1990) 121:327. The revised method of
in-situ rehydration by water-loaded blank support
25 also requires higher reaction temperature (80-100°C)
for the re-distribution of water over the SAP
catalyst. Arhancet, et al. J. Catal., (1991) 129:94.
This approach for loading water into the SAP catalyst
is also not possible.

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TABLE 8

Heterogeneous, asymmetric hydrogenation of 2-(6'-methoxy-2'-naphthyl) acrylic acid by SAP-Ru-BINAP-4SO₃Na catalyst in ethyl acetate

	Entry Cycle ^a		Solvent	S/C ^b	Hydrogen Pressure (psig)	Stirring Speed (rpm)	e.e (%)
10	1	0	AcOEt (40μlH ₂ O)	25	1300	350	28.7 (R)
	2	0	AcOEt (H ₂ O sat.)	33	1300	350	69.0 (R) ^c
	3	1	AcOEt (H ₂ O sat.)	30	500	350	68.3 (R)
	4	1	AcOEt (H ₂ O sat.)	25	1330	350	68.6 (R) ^c
	5	2	AcOEt (H ₂ O sat.)	25	1330	350	70.0 (R) ^c
15	6	0	AcOEt (H ₂ O sat.)	30	1360	300	67.0 (R)
	7	1	AcOEt (H ₂ O sat.)	30	1360	300	67.0 (R)
	8	2	AcOEt (H ₂ O sat.)	31	1360	300	66.0 (R)
	9	0	AcOEt (H ₂ O sat.)	30	1330	500	69.0 (R)
	10	1	AcOEt (H ₂ O sat.)	30	1250	500	65.0 (R)
20	11	2	AcOEt (H ₂ O sat.)	30	1050	550	66.0 (R)
	12	3	AcOEt (H ₂ O sat.)	30	1260	350	77.0 (R) ^d
	13	5	AcOEt (H ₂ O sat.)	31	1360	350	62.8 (R) ^e
	14	6	AcOEt (H ₂ O sat.)	30	1350	350	63.6 (R)
	15	7	AcOEt (H ₂ O sat.)	30	1370	350	64.6 (R) ^{c,e}
25	16	0	AcOEt (200μlNaOH)		30	1380	35062.8 (R) ^f
	17	1	AcOEt (NaOH sat.)		30	1380	35059.9 (R) ^f
	18	2	AcOEt (NaOH sat.)		30	1000	35059.8 (R) ^f
	19	3	AcOEt (NaOH sat.)		30	500	35058.6 (R) ^f
	20	4	AcOEt (H ₂ O sat.)	30	1350	350	62.7 (R)
30							

^a number of catalyst recycles

^b substrate to ruthenium ratio

^c no ruthenium found in the filtrate with a detection limit of 1 ppm

^d reaction temperature = 8°C

^e with added triethylamine, Et₃N/substrate = 1

^f with 0.22 M sodium hydroxide solution

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TABLE 9

Catalytic activity as a function of water content in the heterogeneous, asymmetric hydrogenation of 2-(6'-methoxy-2'-naphthyl) acrylic acid by SAP-Ru-BINAP-4SO₃Na catalyst in ethyl acetate.

Entry	S/C ^a	Hydrogen Pressure (psig)	Water Content (μl) ^b	T.O.F. (Hr ⁻¹) ^c
1	33	1330	0	<0.008
2	25	1130	40	0.22
3	30	1350	125	0.25 ^d
4	30	1360	145	1.06 ^d
5	31	1370	160	2.35 ^d
6	30	1400	195	2.84 ^d
7	30	1370	215	5.12 ^d
8	32	1370	270	18.21 ^d

(saturated)

^a substrate to ruthenium ratio

^b hydrogenations were carried out in 10 ml of ethyl acetate at room temperature and with 350 rpm stirring speed, [substrate]=4.6-4.8x10⁻³M

^c initial turnover frequency

^d no ruthenium found in the filtrate with a detection limit of 1 ppm.

Organic-phase impregnation was developed as a rehydration procedure. This process is more feasible for sulfonated BINAP SAP catalysts, especially in terms of scale-up. Organic-phase impregnation is accomplished by rehydrating "dried" SAP catalyst that has been previously premixed with a controlled amount of water. Unexpectedly, in order to achieve reasonable activity (Table 9), the amount of water added to the organic-phase is found to be greater than the void volume of the support (60-70 μl). This suggests a relatively small partition coefficient of water between the CPG support and the ethyl acetate.

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A maximum water loading of 2.8-3.1 wt% ($\text{g H}_2\text{O/g AcOEt} \times 100$; i.e. $\sim 275 \mu\text{l}$ water in 10 ml of ethyl acetate) was accomplished by using a water-saturated organic phase. The initial turnover frequencies as a function of water content are listed in Table 9 (reaction conditions: substrate/ruthenium ~ 30 , [substrate] $=4.6\text{-}4.8 \times 10^{-3}\text{M}$, pressure $=1350\text{-}1400\text{psig}$, $T=25^\circ\text{C}$, stirring speed $=350\text{rpm}$). Water is introduced to the "dried" SAP catalyst from the ethyl acetate (10 ml) and the water content controlled by adding variable amounts of water, e.g., 0, 40, 125, 145, 160, 195, 215 and $270 \mu\text{l}$. The maximum activity, as determined by the initial turnover frequency, is observed at the highest water content ($\sim 3 \text{ wt\%}$ water in ethyl acetate) with an initial turnover frequency of 18.2hr^{-1} . Table 9, Entry 8. The enantioselectivity of the SAP catalyst is also dependent on the water content and shows a similar trend to that observed in the activity; the observed range is 28.7% to 70.0%(R). Table 8, Entries 1 & 5. Thus, the water content affects the activity and enantioselectivity of the SAP catalyst.

The present findings are quite different from that which has been observed in the hydroformylation of 1-octene by a SAP-rhodium-TPPTS catalyst (Arhancet, et al. J. Catal (1990) 121:327). In the hydroformylation of 1-octene, catalyst activity was much more sensitive to the water content of the SAP catalyst with a bell-shaped curve that describes the activity dependence on water content. Maximum activity was observed at $\sim 8 \text{ wt\%}$ ($\text{gH}_2\text{O/g SAPC} \times 100$) water content and complete loss of activity was observed at $\sim 50 \text{ wt\%}$. Arhancet, et al. J. Catal (1990) 121:327.

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Two factors appear to be responsible for the difference in behavior towards the water content of these two SAP catalysts. First, the [Ru(benzene) (BINAP-4SO₃Na)]²⁺ complex is stable even in neat water while HRh(CO) (TPPTS)₃ is not stable at high syn gas pressure. At high water loading, the HRh(CO) (TPPTS)₃ complex decomposes, resulting in a drop in activity. Second, the decrease in the interfacial surface area of the SAP material with increasing water loading also contributes to the rapid decline in the activity of the more hydrophilic SAP-Rh-TPPTS catalyst. Because of the small partition coefficient of water between the CPG support and the ethyl acetate, the actual amount of water being loaded onto the SAP-Ru-BINAP-4SO₃Na catalyst is far below the amount added by the vapor-phase impregnation into the much more hydrophilic SAP-Rh-TPPTS catalyst.

In view of the water content of ethyl acetate in the present SAP system, leaching of the catalytic species into the organic phase may be more likely. Filtration of the reaction mixture to remove the solid catalyst after the hydrogenation reaction yields a colorless solution of product in ethyl acetate. All filtrates were found not to contain any ruthenium at a detection limit of 1 ppm. Table 8, Entries 2, 4-5 & 15, Table 9, Entries 3-8. In addition, in some of the experiments, filtrates were tested indirectly for the presence of ruthenium by attempting the catalytic hydrogenation of methylenesuccinic acid using the filtrate alone as catalyst. In no case was catalytic activity observed in the filtered solutions. For example, filtered solutions gave no conversion of methylenesuccinic acid at room temperature after one day of contact

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under a pressure of 30 psig of hydrogen. The inactivity of these filtered solutions together with the elemental analyses suggest that no soluble ruthenium species have leached out into the organic phase.

It is reasonable to suggest that the ruthenium complex becomes increasingly mobile as water loading increases. This is evidenced from the data in Table 9 which shows that the hydrated SAP catalyst is at least 2000 times more active than the "dried" SAP catalyst. With a higher degree of mobility, the SAP catalyst can approach the same enantioselectivity as its counterpart in the two-phase system. Because of the much larger interfacial surface area between the catalyst containing aqueous-phase and the substrate containing organic-phase, the SAP system is found to be at least 50 times more active than the two-phase system. In the best cases, turn over frequencies obtained using hydrated SAP catalyst are only seven times slower than homogeneous catalyst in neat methanol under the same conditions. We have observed a similar drop (6.7 times) in initial turnover frequency in the homogeneous hydrogenation of methyl-2-acetamidoacrylate by $[\text{Rh}(\text{BINAP}4\text{SO}_3\text{Na})(\text{H}_2\text{O})_2]^+$ in neat water (Wan, et al. J.C.S., Chem. Commun. (1993) 1262), where both the substrate and the catalyst are mixed together in one single phase. We attribute the drop in turnover frequency of the rhodium system to the fact that hydrogen solubility is four to five times higher in alcoholic solvents. This factor is significant since the oxidative addition of hydrogen to the rhodium catalyst is rate determining.

The effect of added base on the SAP system was also examined. The results are listed in Table 8. The addition of either aqueous sodium hydroxide or

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triethylamine was found to have little effect on the enantioselectivity (Table 8, Entries 13, 15-16), although it does appear to promote the activity to some extent. In the presence of sodium hydroxide, the enantioselectivity was found to be rather pressure-insensitive in the pressure range of 500-1,400 psig. Table 8, Entries 17-19. The enantiomeric excesses were almost constant for the hydrated SAP catalyst in the pressure range of 500-1,400 psig. Table 8, Entries 2 & 3. Similar to the case of the homogeneous analogue, higher enantiomeric excesses (77%) are achieved with a lower reaction temperature of 8°C, but only at the expense of activity ($T.O.F.=0.43hr^{-1}$). The possibility of catalyst decomposition during the synthesis of SAP material is ruled out by the fact that a hydrogenation of 2-(6'-methoxy-2'-naphthyl)acrylic acid with an 86% e.e. was accomplished using a redissolved catalyst solution from a used SAP catalyst in methanol. Thus, the ruthenium complex is still stable in the SAP configuration. It is therefore apparent that the performance of the hydrated SAP catalyst is bounded by the intrinsic enantioselectivity limit of the ruthenium sulfonated BINAP catalyst in water. Additionally, it is clear that the SAP solid support plays no important role in enantioselectivity.

A series of reactions were carried out to test the possibility of recycling the SAP catalyst. The used SAP catalyst was removed from the hydrogenation mixture by simple filtration. It was then washed several times with fresh ethyl acetate, followed by the addition of fresh substrate and solvent. Similar e.e. values (65-70%) were found throughout the recycling of the SAP catalyst Table 8, Entries 2, 4-5; 6-8 & 9-11.

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5 While the present invention is disclosed by reference to the preferred embodiments and examples detailed above, it is to be understood that these examples are intended in an illustrative rather than limiting sense, as it is contemplated that modifications will readily occur to those skilled in the art, which modifications will be within the spirit of the invention and the scope of the appended claims.

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What is claimed is:

1. A catalyst including an organometallic compound which comprises a metal and chiral sulfonated 2,2'-bis(diphenylphosphino)-1,1'-binaphthyl which catalyst is solubilized in a primary alcohol, wherein said primary alcohol is carried as a layer on the surface of a supporting particle.
2. A supported liquid phase catalyst according to claim 1 wherein said alcohol is ethylene glycol.
3. The catalyst of claim 1 wherein said sulfonated binaphthyl is at least tetrasulfonated.
4. The catalyst of claim 1 wherein said metal is selected from the group consisting of rhodium, ruthenium, iridium, vanadium, lead, platinum, tin, nickel and palladium.
5. The catalyst of claim 1 wherein the catalyst further comprises counterions selected from the group consisting of Na^+ , K^+ , Cs^+ and Ca^{2+} .
6. A method for conducting an asymmetric reaction to a prochiral unsaturated bond contained within a compound comprising the step of contacting said compound with a catalyst, the catalyst comprising an organometallic compound, which organometallic compound comprises a metal and a chiral sulfonated 2,2'-bis(diphenylphosphino)-1,1'-binaphthyl and the catalyst being solubilized in a primary alcohol, wherein said primary alcohol is carried as a layer on the surface of a supporting particle.

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7. A method according to claim 6 wherein the sulfonated binaphthyl is at least tetrasulfonated.

8. A method according to claim 6 wherein the asymmetric reaction is selected from the group consisting of hydrogenation, hydroboration, hydrosilylation, hydride reduction, hydroformylation, alkylation, allylic alkylation, arylation, alkenylation, epoxidation, hydrocyanation, cyclization and disilylation.

9. A method according to claim 6 wherein the metal is selected from the group consisting of rhodium, ruthenium, iridium, vanadium, lead, platinum, tin, nickel and palladium.

10. A method according to claim 6 wherein the catalyst further comprises counterions selected from the group consisting of Na^+ , K^+ , Cs^+ and Ca^{2+} .

11. A method according to claim 6 wherein the organometallic compound is solubilized in ethylene glycol.

12. A method of asymmetrically hydrogenating a 2-arylacrylic acid comprising the step of treating the 2-arylacrylic acid with hydrogen in the presence of a catalyst comprising a metal and a chiral sulfonated 2,2'-bis(diphenylphosphino)-1,1'-binaphthyl solubilized in a primary alcohol, wherein said primary alcohol is carried as a layer on the surface of a supporting particle.

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13. A method according to claim 12 wherein the chiral sulfonated 2,2'-bis(diphenylphosphino)-1,1'-binaphthyl is tetrasulfonated.

5 14. A method according to claim 12 wherein the metal is selected from the group consisting of rhodium, ruthenium, iridium, vanadium, lead, platinum, tin, nickel and palladium.

15. A method according to claim 12 wherein the 2-arylacrylic acid is dehydronaproxen.

10 16. A method according to claim 12 wherein the catalyst further comprises counterions selected from the group consisting of Na⁺, K⁺, Cs⁺ and Ca²⁺.

17. A method according to claim 12 wherein said primary alcohol is ethylene glycol.

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AMENDED CLAIMS

[received by the International Bureau on 24 July 1995 (24.07.95);
original claims 1 and 6 amended; remaining claims unchanged (3 pages)]

1. A catalyst including an organometallic
compound which comprises a metal and chiral
sulfonated 2,2'-bis(diphenylphosphino)-1,1'-
5 binaphthyl which catalyst is solubilized in a primary
alcohol, wherein said primary alcohol is carried as a
layer on the surface of a supporting particle,

wherein at least one phenyl group of the chiral
sulfonated 2,2'-bis(diphenylphosphino)-1,1'-
10 binaphthyl is monosulfonated, and

wherein the degree to which the chiral
sulfonated 2,2'-bis(diphenylphosphino)-1,1'-
binaphthyl is sulfonated is selected from the group
consisting of tetrasulfonated, pentasulfonated, and
15 hexasulfonated.

2. A supported phase catalyst according to
claim 1 wherein said alcohol is ethylene glycol.

3. The catalyst of claim 1 wherein said
sulfonated binaphthyl is at least tetrasulfonated.

20 4. The catalyst of claim 1 wherein said metal
is selected from the group consisting of rhodium,
ruthenium, iridium, vanadium, lead, platinum, tin,
nickel and palladium.

5. The catalyst of claim 1 wherein the
25 catalyst further comprises counterions selected from
the group consisting of Na⁺, K⁺, Cs⁺ and Ca²⁺.

6. A method for conducting an asymmetric
reaction to a prochiral unsaturated bond contained
within a compound comprising the step of contacting
30 said compound with a catalyst, the catalyst

Substitute

AMENDED SHEET (ARTICLE 19)

comprising an organometallic compound, which organometallic compound comprises a metal and a chiral sulfonated 2,2'-bis(diphenylphosphino)-1,1'-binaphthyl and the catalyst being solubilized in a primary alcohol, wherein said primary alcohol is carried as a layer on the surface of a supporting particle,

wherein at least one phenyl group of the chiral sulfonated 2,2'-bis(diphenylphosphino)-1,1'-binaphthyl is monosulfonated, and

wherein the degree to which the chiral sulfonated 2,2'-bis(diphenylphosphino)-1,1'-binaphthyl is sulfonated is selected from the group consisting of tetrasulfonated, pentasulfonated, and hexasulfonated.

7. A method according to claim 6 wherein the sulfonated binaphthyl is at least tetrasulfonated.

8. A method according to claim 6 wherein the asymmetric reaction is selected from the group consisting of hydrogenation, hydroboration, hydrosilylation, hydride reduction, hydroformylation, alkylation, allylic alkylation, arylation, alkenylation, epoxidation, hydrocyanation, cyclization and disilylation.

9. A method according to claim 6 wherein the metal is selected from the group consisting of rhodium, ruthenium, iridium, vanadium, lead, platinum, tin, nickel and palladium.

10. A method according to claim 6 wherein the catalyst further comprises counterions selected from the group consisting of Na⁺, K⁺, Cs⁺ and Ca²⁺.

Substitute

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11. A method according to claim 6 wherein the organometallic compound is solubilized in ethylene glycol.

5 12. A method of asymmetrically hydrogenating a 2-arylacrylic acid comprising the step of treating the 2-arylacrylic acid with hydrogen in the presence of a catalyst comprising a metal and a chiral sulfonated 2,2'-bis(diphenylphosphino)-1,1'-binaphthyl solubilized in a primary alcohol, wherein
10 said primary alcohol is carried as a layer on the surface of a supporting particle.

13. A method according to claim 12 wherein the chiral sulfonated 2,2'-bis(diphenylphosphino)-1,1'-binaphthyl is tetrasulfonated.

15 14. A method according to claim 12 wherein the metal is selected from the group consisting of rhodium, ruthenium, iridium, vanadium, lead, platinum, tin, nickel and palladium.

20 15. A method according to claim 12 wherein the 2-arylacrylic acid is dehydronaproxen.

16. A method according to claim 12 wherein the catalyst further comprises counterions selected from the group consisting of Na⁺, K⁺, Cs⁺ and Ca²⁺.

25 17. A method according to claim 12 wherein said primary alcohol is ethylene glycol.

Substitute

AMENDED SHEET (ARTICLE 19)

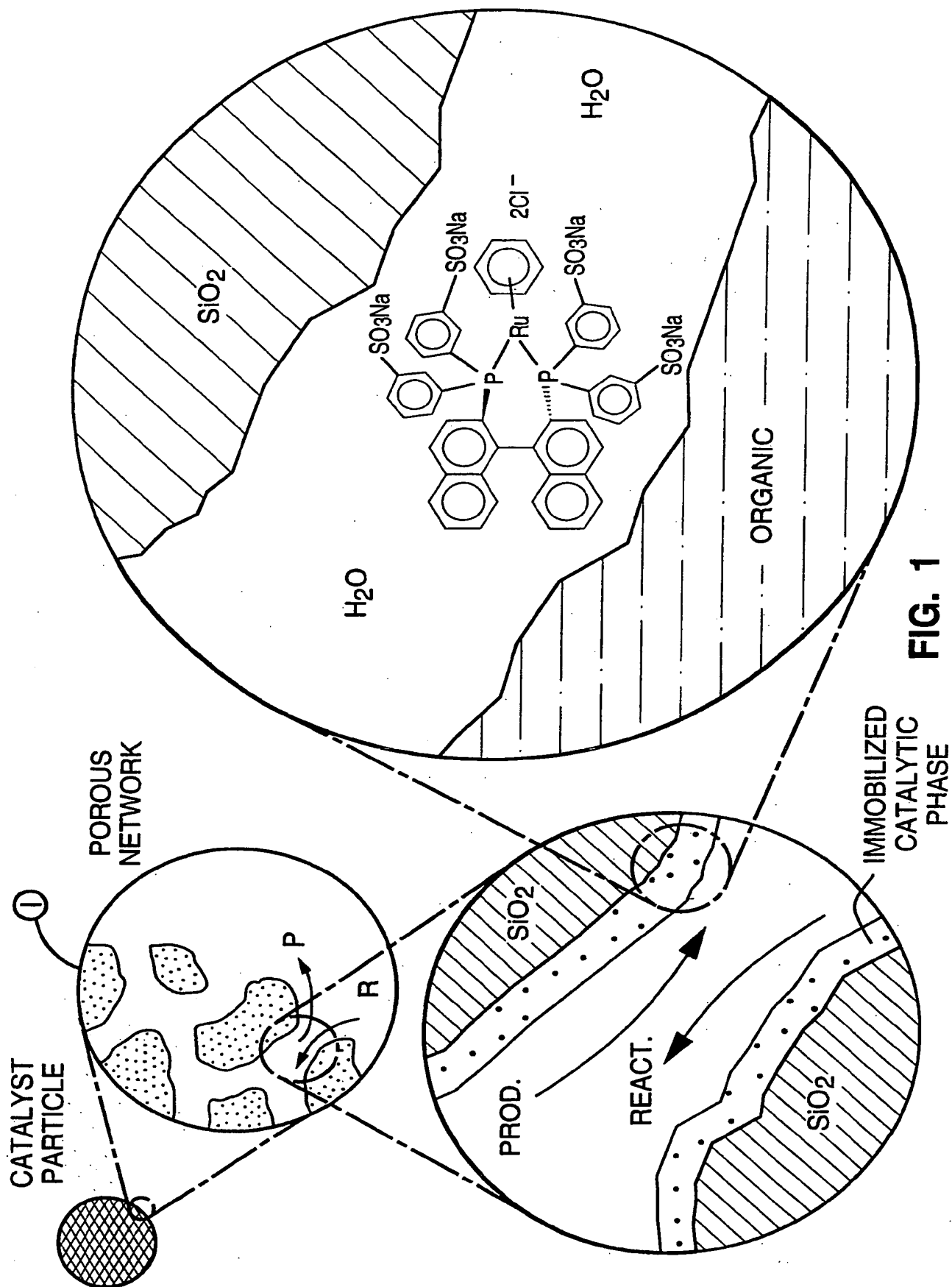


FIG. 1

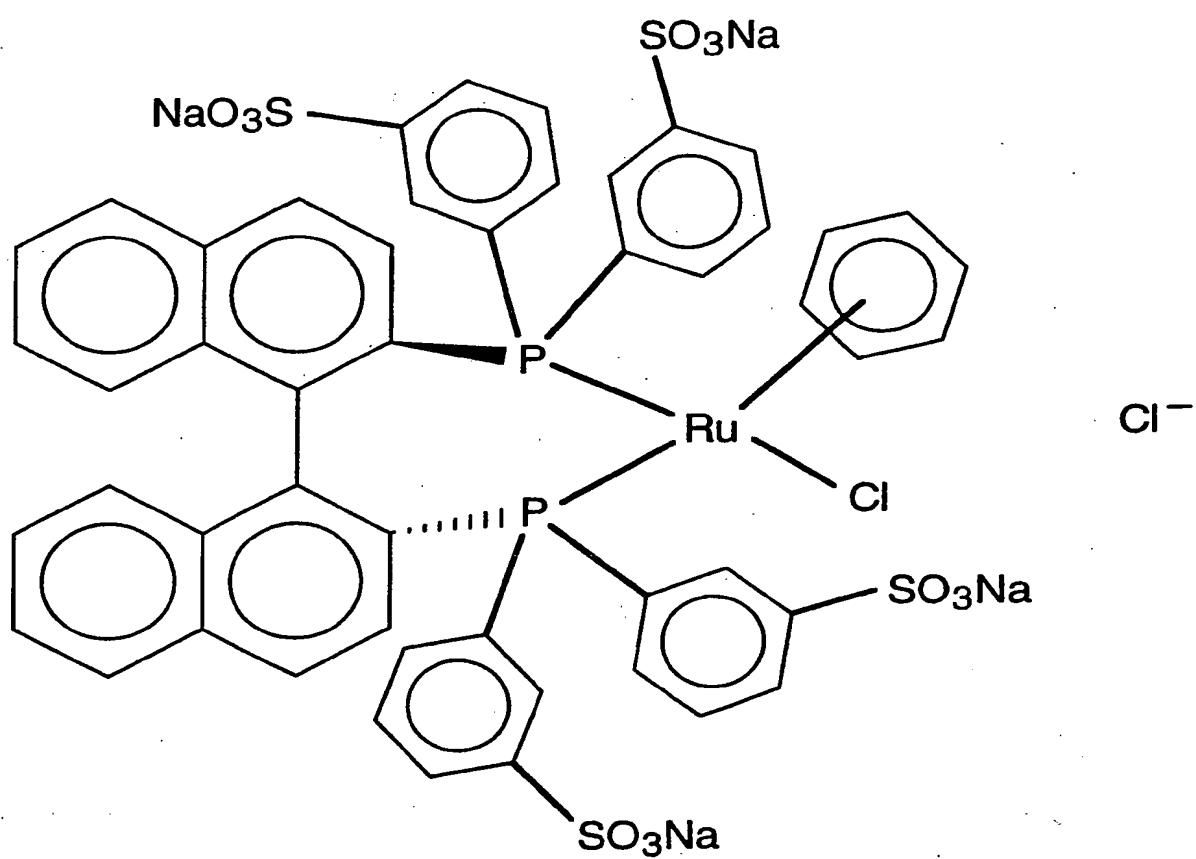
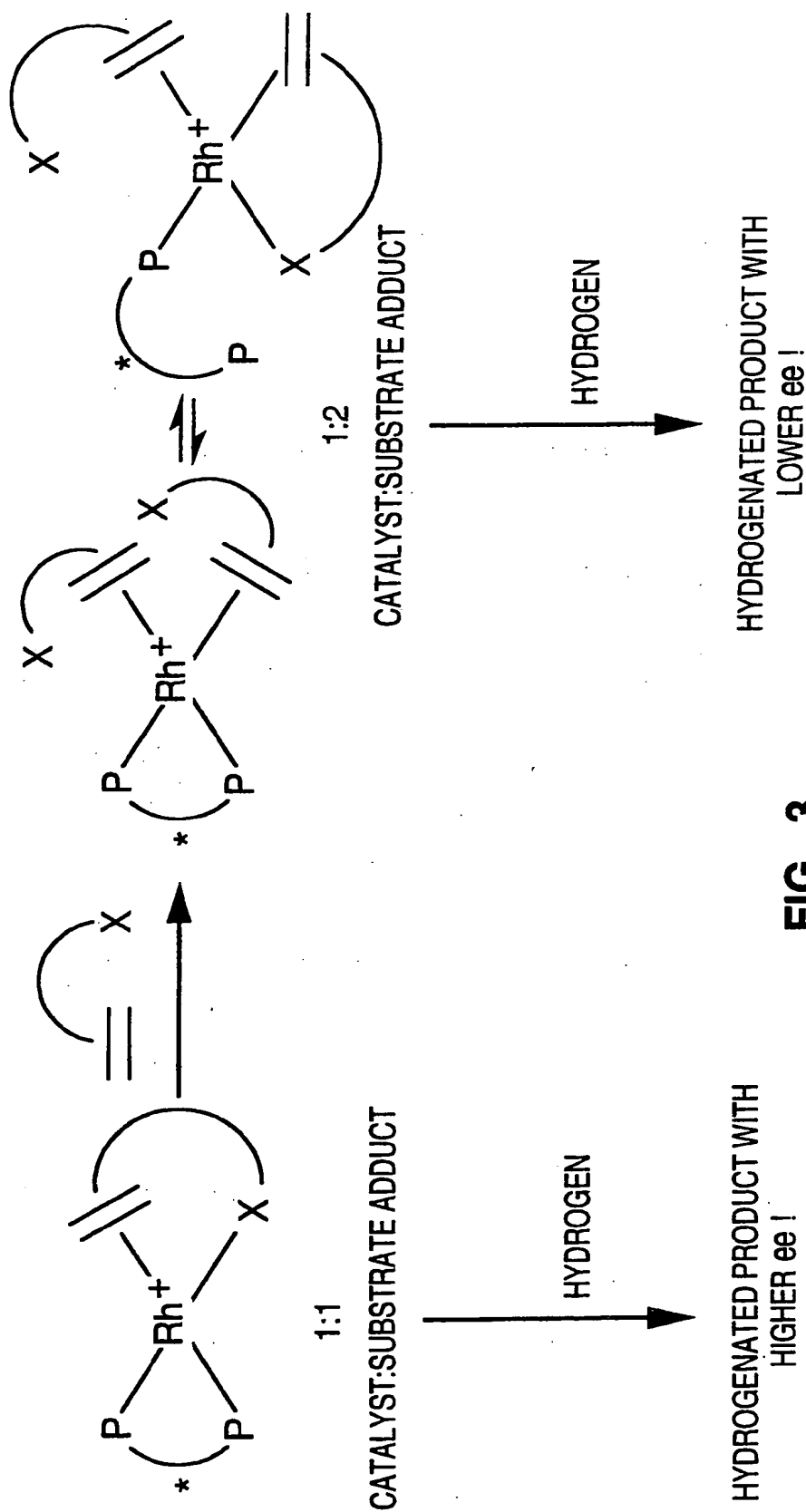


FIG. 2

BINAP:

**FIG. 3**

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US95/02069**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) : Please See Extra Sheet.

US CL : Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : Please See Extra Sheet.

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X,P	US, A, 5,347,045 (HERRMANN ET AL) 13 SEPTEMBER 1994, SEE ENTIRE DOCUMENT.	1-17
X	US, A, 5,274,146 (ISHIZAKI ET AL) 28 DECEMBER 1993, SEE ENTIRE DOCUMENT.	1-17
X	US, A, 5,233,084 (CHAN) 03 AUGUST 1993, SEE ENTIRE DOCUMENT.	6-17

☐ Further documents are listed in the continuation of Box C.☐ See patent family annex.

•	Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A"	document defining the general state of the art which is not considered to be of particular relevance		
"E"	earlier document published on or after the international filing date	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L"	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O"	document referring to an oral disclosure, use, exhibition or other means	"&"	document member of the same patent family
"P"	document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

01 MAY 1995

Date of mailing of the international search report

22 MAY 1995

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Form PCT/ISA/210 (second sheet)(July 1992)*

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US95/02069

A. CLASSIFICATION OF SUBJECT MATTER:

IPC (6):

B01J 31/24;

C07C 53/124, 53/136, 69/22.

A. CLASSIFICATION OF SUBJECT MATTER:

US CL :

502/162, 166;

560/155;

562/466, 553.

B. FIELDS SEARCHED

Minimum documentation searched

Classification System: U.S.

502/162, 166;

560/155;

562/466, 553.

Form PCT/ISA/210 (extra sheet)(July 1992)★